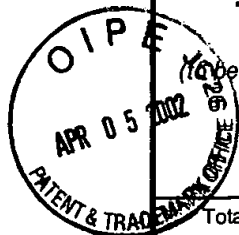


#4 Receipt

PTO/SB/21 (08-00)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL FORM**

(To be used for all correspondence after initial filing)

Application No.		09/941,255
Filing Date		August 28, 2001
First Named Inventor		Robert L. Ashlock
Group Art Unit		2122
Examiner Name		Unassigned
Attorney Docket Number		3927P002
Total Number of Pages in This Submission	8	

**ENCLOSURES (check all that apply)**☒ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/Incomplete Application☐ Response to Missing Parts under 37 CFR 1.52 or 1.53☐ Assignment Papers (for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert a Provisional Application☐ Power of Attorney, Revocation Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication to Group☐ Appeal Communication to Board of Appeals and Interferences☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please identify below):

Request for Correction of Filing Receipt; cc of Filing Receipt; cc of Request to Rescind Previous Non-Publication Request.

Remarks

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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	William W. Schaal, Reg. No. 39,018 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	March 27, 2002

**CERTIFICATE OF MAILING/TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

March 27, 2002

Typed or printed name	Laura R. Robles
Signature	
Date	March 27, 2002

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**FREE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$)

**Complete if Known**

Application Number 09/941,255  
 Filing Date August 28, 2001  
 First Named Inventor Robert L. Ashlock  
 Examiner Name Unassigned  
 Group/Art Unit 2122  
 Attorney Docket No. 3927P002

**RECEIVED****METHOD OF PAYMENT (check one)**

- ☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None  
☒ Deposit Account

Deposit Account Number

02-2666

Deposit Account Name

Blakely, Sokoloff, Taylor &amp; Zafman LLP

The Commissioner is authorized to: (check all that apply)

- ☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of the application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$)

**2. EXTRA CLAIM FEES**

Total Claims  \* =  X  =   
 Independent Claims  \* =  X  =   
 Multiple Dependent  \* =  X  =

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple Dependent claim, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2)

(\$)

\*\*or number previously paid, if greater, For Reissues, see below

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920 *	112	920 *	Requesting publication of SIR prior to Examiner action	
113	1,840 *	113	1,840 *	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,980	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	480	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)

William W. Schaal

Registration No.  
(Attorney/Agent)

39,018

Telephone

(714) 557-3800

Signature

Date

03/27/02

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#4  
6-18-2

Our Docket No.: 3927P002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

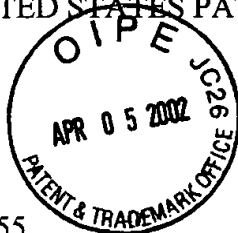
In re Application of:

Robert L. Ashlock, et al.

Application No.: 09/941,255

Filed: August 28, 2001

For: UTILIZING POWERLINE  
NETWORKING AS A GENERAL  
PURPOSE TRANSPORT FOR A  
VARIETY OF SIGNALS



Examiner: Unassigned

Art Group: 2122

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REQUEST FOR CORRECTION OF FILING RECEIPT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

We have received the updated Filing Receipt for the above-identified U.S. Patent Application (copy enclosed). Upon our review, we have discovered that the city name for inventor Robert L. Ashlock was correct in the original Filing Receipt. Please return Mr. Robert L. Ashlock's address to the following:

"Robert L. Ashlock, Fullerton, CA".

Most importantly, please note that a Request to Rescind Previous Non-Publication Request was filed on October 30, 2001 (copy enclosed).

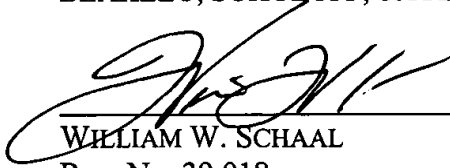
Please update your records to reflect the correct information, and send us a corrected Filing Receipt.

If there are any additional charges, please charge them to Account No. 02-2666. An extra copy of the Fee Transmittal is enclosed for deposit account charging purposes. Please direct all communications regarding this matter to the undersigned.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

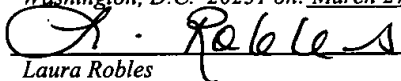
Dated: March 27, 2002

  
\_\_\_\_\_  
WILLIAM W. SCHAAL  
Reg. No. 39,018

12400 Wilshire Boulevard, Seventh Floor  
Los Angeles, California 90025  
(714) 557-3800

**CERTIFICATE OF MAILING**

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\_\_\_\_\_  
Laura Robles 03/27/02



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REG	ATTY.DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/941,255	08/28/2001	2122	395	2027002	6	20	4

CONFIRMATION NO. 6006

08791

BLAKELY SOKOLOFF TAYLOR & ZAFMAN  
12400 WILSHIRE BOULEVARD, SEVENTH FLOOR  
LOS ANGELES, CA 90025

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CORRECTED FILING RECEIPT



00000007315668\*

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
LOS ANGELES

Date Mailed: 01/16/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Robert L. Ashlock, Irvine, CA;  
Chirjeev Singh, Irvine, CA;  
Hossein Alavi, Woodbridge, CANADA;  
Mehdi Tavassoli, Mississauga, CANADA;

**Domestic Priority data as claimed by applicant**

THIS APPLN CLAIMS BENEFIT OF 60/243,223 10/25/2000

**Foreign Applications**

If Required, Foreign Filing License Granted 10/01/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

**Title**

Utilizing powerline networking as a general purpose transport for a variety of signals

**Preliminary Class**

717

**ENTERED**

JAN 25 2002

**STATUS DB-LA**

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JAN 28 2002

BLAKELY SOKOLOFF TAYLOR & ZAFMAN  
LOS ANGELES, CA

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APR 19 2002

Technology Center 2100

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**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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PTO/SB/36 (11-00)  
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>REQUEST TO RESCIND PREVIOUS NONPUBLICATION REQUEST 35 U.S.C. 122(b)(2)(B)(ii)</b>	Application Number	09/941,255
	Filing Date	08/28/2001
	First Named Inventor	Robert L. Ashlock
	Title	Utilizing Powerline Networking As A General Purpose Transport For A Variety Of Signals
	Atty Docket Number	3927P002
	Group Art Unit	2122
	Examiner	Unassigned

I hereby **rescind** the previous request that the above-identified application not be published under 35 U.S.C. 122(b).

10/25/01  
Date

  
Signature

39,018  
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b).

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